

Annexure B (Stock Broker)

Data for month ending: April 2024

| SN | Received from | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** | | Average Resolution time^ (in days) |
|----|-------------------------|-------------------------------------|---------------------------|---------------|-----------|-----------------------------------|--------------------------------|------------------------------------|
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | | 8 |
| 1 | Directly from Investors | NIL | NIL | NIL | NIL | NIL | | NIL |
| 2 | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | | NIL |
| 3 | Stock Exchanges | NIL | NIL | NIL | NIL | NIL | | NIL |
| 4 | Other Sources (if any) | NIL | NIL | NIL | NIL | NIL | | NIL |
| 5 | Grand Total | NIL | NIL | NIL | NIL | NIL | | NIL |

Trend of monthly disposal of complaints.

| S. No. | Month | Carried forward from previous month | Received | Resolved | Pending |
|--------|------------|-------------------------------------|----------|----------|---------|
| 1 | March,2024 | 0 | 0 | 0 | 0 |

Trend of annual disposal of complaints.

| S. No. | Year | Carried forward from previous Year | Received during the year | Resolved during the year | Pending at the end of the year |
|--------|---------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1 | 2021-22 | 0 | 0 | 0 | 0 |
| 2 | 2022-23 | 0 | 0 | 0 | 0 |
| 3 | 2023-24 | 0 | 0 | 0 | 0 |