



Yashwi Securities (P) Ltd.

Member : NSE, BSE and MCX

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CIN : U67120 WB2004 PTC097985

Precautions for clients dealing in Options

Dear Investor,

NSE/BSE vide its Circular dated July 06, 2022 has informed the Trading Members to carry out due diligence of all those clients wishing to trade in Derivative Segments of the Exchange more specifically in the Option Segment to avoid any kind risk / threat that may occur as the Derivative Products are not designed for general public whose income does not support the trade position in Options.

Further in line with this circular all clients trading in Derivative Segments are informed to kindly update their financial details / income with us to avoid disallowance of trading in derivative products.

Our Compliance department will analyse the Income details with the turnover and in case of any serious deviation, the account will be blocked for trading in derivative products and it will be at the sole discretion of Yashwi Securities Private Limited.

Further please also note that following actions needs investor attention at all times and request to desist from following activities to ensure smooth operations of investor trading account maintain with us:

- a) Sharing of trading credentials – login id & passwords including OTP's.
- b) Trading in leveraged products like options without proper understanding, which could lead to losses.
- c) Writing/ selling options or trading in option strategies based on tips, without basic knowledge & understanding of the product and its risks
- d) Dealing in unsolicited tips through Whatsapp, Telegram, YouTube, Facebook, SMS, calls, etc.
- e) Trading in "Options" based on recommendations from unauthorised / unregistered investment advisors and influencers.

For your necessary action and information.

By Order

For Yashwi Securities Private Limited

Lulack Nayak
Compliance Officer

